



# Global and regional effects of land-use change on climate in 21st century simulations with interactive carbon cycle

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**Abstract.** Biogeophysical (BGP) and biogeochemical (BGC) effects of land-use and land cover change (LULCC) are separated at the global and regional scales in new interactive CO<sub>2</sub> simulations for the 21st century. Results from four earth system models (ESMs) are analyzed for the future RCP8.5 scenario from simulations with and without land-use and land cover change (LULCC), contributing to the Land-Use and Climate, IDentification of robust impacts (LUCID) project. Over the period 2006–2100, LULCC causes the atmospheric CO<sub>2</sub> concentration to increase by 12, 22, and 66 ppm in CanESM2, MIROC-ESM, and MPI-ESM-LR, respectively. Statistically significant changes in global near-surface temperature are found in three models with a BGC-induced global mean annual warming between 0.07 and 0.23 K. BGP-induced responses are simulated by three models in areas of intense LULCC of varying sign and magnitude (between −0.47 and 0.10 K). Modifications of the land carbon pool by LULCC are disentangled in accordance with processes that can lead to increases and decreases in this carbon pool. Global land carbon losses due to LULCC are simulated by all models: 218, 57, 35 and 34 Gt C by MPI-ESM-LR, MIROC-ESM, IPSL-CM5A-LR and CanESM2, respectively. On the contrary, the CO<sub>2</sub>-fertilization effect caused by elevated atmospheric CO<sub>2</sub> concentrations due to LULCC leads to a land carbon gain of 39 Gt C in MPI-ESM-LR and is almost negligible in the other models. A substantial part of the spread in models' responses to LULCC is attributed to the differences in implementation of LULCC (e.g., whether pastures or crops are simulated explicitly) and the simulation of specific processes. Simple idealized experiments with clear protocols for implementing LULCC in ESMs are needed to increase the understanding of model responses and the statistical significance of results, especially when analyzing the regional-scale impacts of LULCC.

## 1 Introduction

About one-third of the global land surface has already been altered by land-use and land cover changes (LULCC) (Vitousek et al., 1997), primarily through deforestation and replacement of natural vegetation with cropland and pastures (Hurt et al., 2009; Ellis, 2011). The impacts of past, present and potential future LULCC on climate and the carbon cycle have been addressed in a number of recent studies (Matthews et al., 2004; Brovkin et al., 2004, 2013; Sitch et al., 2005; Shevliakova et al., 2009; Pongratz et al., 2010). The climatic consequences of LULCC can be expressed in terms of its biogeophysical (BGP) and biogeochemical (BGC) effects. BGP effects account for alterations of physical land surface characteristics such as changes in albedo and roughness length, which in turn affect regional boundary layer dynamics and land–atmosphere energy and water exchange. For example, a local cooling may occur due to increased surface albedo and the reduced seasonal snow-masking effect when forests are replaced by cropland in mid- to high latitudes, which leads to an increased albedo due to brighter snow cover (Bonan et al., 1992; Claussen et al., 2001). However, a reduction in latent heat fluxes in tropical regions associated with a similar change in land cover may result in a warming (Davin and de Noblet Ducoudré, 2010; Brovkin et al., 2009) and decreases in cloud cover (Werth and Avissar, 2002). BGC effects alter the atmospheric greenhouse gas (GHG) composition, which then affects the climate at the global scale. Over the historical period, LULCC-associated CO<sub>2</sub> emissions have increased atmospheric CO<sub>2</sub> concentration by 15–20 ppm (Matthews et al., 2004; Brovkin et al., 2004; Pongratz et al., 2010; Arora and Boer, 2010) and Shevliakova et al. (2013) even estimates a contribution of 43 ppm. The resulting global BGC warming effects may counteract regional BGP cooling effects of LULCC but may also intensify local temperature increases, depending on the geographical location (Pongratz et al., 2011, 2009; Bathiany et al., 2010; Bala et al., 2007). Furthermore, LULCC affects land–atmosphere feedbacks, which are triggered by changes in climate and atmospheric CO<sub>2</sub> concentration: the carbon–temperature feedback and the carbon–concentration feedback may act in opposite directions (Arora et al., 2013). The first one can either be a negative climate feedback due to increased plant productivity or a positive climate feedback as a result of enhanced heterotrophic respiration of soils in a warmer climate (Arneth et al., 2010; Bonan, 2008; Friedlingstein et al., 2006). The second one is a negative climate feedback due to the CO<sub>2</sub>-fertilization effect of the vegetation. However, LULCC reduces the size of the land carbon sink and sources and thus may reduce these climate feedback effects.

The Land-Use and Climate, IDentification of robust impacts (LUCID) project is devoted to the detection of the impacts of LULCC on climate. Several studies have found robust climate signals associated with LULCC. Pitman et al. (2009), for example, showed that LULCC can affect latent

and sensible heat fluxes, albedo and near-surface temperatures in atmospheric general circulation models (AGCMs) with prescribed SSTs. Pitman et al. (2012) revealed changes in temperature extremes and Van der Molen et al. (2011) emphasized the latitudinal-dependent importance of cloud feedbacks in the context of climatic consequences of LULCC. Brovkin et al. (2013) found small regional impacts on albedo, available energy, near-surface temperature and land carbon storage by analyzing the output of six earth system model simulations for the 21st century with prescribed CO<sub>2</sub> concentrations. However, large uncertainties remain, both in the sign and magnitude of BGP and BGC effects due to differences in model parameterizations and assumptions regarding the underlying processes. These mechanisms were investigated in detail, for example, by Boisier et al. (2012). Reducing the uncertainty associated with BGC and BGP effects of LULCC is one of the challenges for climate and earth system modelers. Previous LUCID studies focused exclusively on BGP effects of LULCC with the exception of Brovkin et al. (2013), who compared BGP with BGC effects. However, their analysis, relying solely on simulations with prescribed CO<sub>2</sub>, was restricted to changes in land carbon storage and first-order approximations of the consequences for global mean temperature. A consistent multi-model comparison of explicitly calculated BGP and BGC effects in terms of relevance for key climate variables is yet missing – a gap to be filled by the present study.

We use simulations for the 21st century following a specified emission-driven scenario called ESMRCP8.5 (Moss et al., 2010), which was carried out by four earth system models participating in the fifth coupled model intercomparison project (CMIP5, Taylor et al., 2012). This scenario, provided by the integrated assessment model (IAM) MESSAGE (Riahi et al., 2011), includes spatially explicit LULCC patterns, which reflect the expansion of crop and pasture land required to meet the increasing food demand of a growing world population. This scenario yields a total anthropogenic radiative forcing of about 8.5 W m<sup>−2</sup> in 2100. For the contribution to the LUCID project, the four climate modeling groups performed two additional ESMRCP8.5 simulations in which land cover was held constant at its year 2005 state, once with CO<sub>2</sub> concentrations calculated interactively and once with prescribed CO<sub>2</sub> concentrations from the ESMRCP8.5 simulation (see Table 1). This new approach uses the differences between the standard ESMRCP8.5 and the additional simulations to directly quantify the climatic consequences of regional BGP effects in comparison to the global BGC effects of LULCC on future climate. Thereby, we can also analyze the effect of interactively calculated CO<sub>2</sub> concentrations on land carbon pools and their contribution to temperature changes in contrast to estimated temperature changes from land carbon losses as it is usually done (Brovkin et al., 2013; Gillett et al., 2013). Finally, we identify major uncertainties arising in this multi-model approach.

**Table 1.** Overview of CMIP5 and LUCID simulations based on CMIP5 standard simulations for RCP8.5 and the employed terminology exemplified with near-surface temperature  $T$ .

Simulation	Terminology	CO <sub>2</sub> concentration	LULCC
ESM	$T_{\text{LULCC}}^{e\text{CO}_2}$	Interactive (emission-driven)	As in RCP
L1B	$T_{\text{no LULCC}}^{e\text{CO}_2}$	Interactive	Fixed to the year 2005
L1A	$T_{\text{no LULCC}}^{c\text{CO}_2}$	Prescribed (concentration-driven, output of the ESM run)	Fixed to the year 2005
RCP	$T_{\text{LULCC}}^{c\text{CO}_2\text{RCP}}$	Prescribed from RCP8.5 (Moss et al., 2010)	Transient scenario (MESSAGE, Riahi et al., 2011) (Hurtt et al., 2011)
L2A	$T_{\text{no LULCC}}^{c\text{CO}_2\text{RCP}}$	As in RCP	Fixed to the year 2005

## 2 Methods

Results from the ESMRCP8.5 simulations are used from four ESMs: MPI-ESM-LR (Giorgetta et al., 2013; Reick et al., 2013), MIROC-ESM (Watanabe et al., 2011), IPSL-CM5A-LR (Dufresne et al., 2013) and CanESM2 (Arora et al., 2011). Hereafter, the models are referred to as the MPI, MIR, IPSL and CAN model, respectively. For the year 2006, MPI, MIR and CAN simulate 375, 387, and 386 ppm, respectively (no values for IPSL available), which compare well with the observed value of 382 ppm (Keeling et al., 2009) and close to the prescribed CO<sub>2</sub> concentration of RCP8.5 with 377 ppm (for detailed benchmarking of these models, see Anav et al., 2013). The impacts of LULCC on climate and land–atmosphere fluxes of carbon are examined by differencing model simulations with and without LULCC. To distinguish BGP and BGC effects, three simulation setups between the years 2006 and 2100 are used (Table 1): ESMRCP8.5 includes all RCP8.5 forcings with CO<sub>2</sub> freely exchanged between the land, the ocean and the atmosphere components (i.e., CO<sub>2</sub> is simulated interactively; hereafter ESM simulation and  $T_{\text{LULCC}}^{e\text{CO}_2}$  for resulting near-surface temperatures and  $C_{\text{LULCC}}^{e\text{CO}_2}$  for simulated land carbon content in year 2100). The L1A simulation uses land cover corresponding to the year 2005 and prescribes atmospheric CO<sub>2</sub> concentration taken from the ESM simulation ( $T_{\text{no LULCC}}^{c\text{CO}_2}$  and  $C_{\text{no LULCC}}^{c\text{CO}_2}$ ). The L1B simulations also neglect LULCC but CO<sub>2</sub> is interactively simulated ( $T_{\text{no LULCC}}^{e\text{CO}_2}$  and  $C_{\text{no LULCC}}^{e\text{CO}_2}$ ). In general, the same terminology holds for the land carbon content  $C$ ; however, changes in carbon pools due to BGP effects of LULCC are not separated by the ESM–L1A difference from the direct LULCC effects (deforestation, replacement of natural vegetation and regrowth), and are thus labeled  $\Delta C_{\text{LULCC}}$ . The difference between the ESM and L1A simulations therefore yields the biogeophysical effects of LULCC on climate

( $\Delta T_{\text{BGP}}$ ), whereas the difference between the L1A and L1B simulations yields the biogeochemical effects of LULCC on climate ( $\Delta T_{\text{BGC}}$ ). Finally, the difference between ESM and L1B simulations yields the net effect of LULCC on climate ( $\Delta T_{\text{net}}$ ), including all feedbacks (Table 2).

Additionally, BGP effects in our simulations with interactively simulated CO<sub>2</sub> are compared to BGP effects in simulations with prescribed CO<sub>2</sub> concentrations calculated from the difference of RCP8.5 and L2A simulations (hereafter, RCP simulation and  $\Delta T_{\text{BGP}}^{\text{RCP}}$ ) with prescribed CO<sub>2</sub> concentrations (Brovkin et al., 2013).

The land-use change information was adapted from the land-use harmonization project by Hurtt et al. (2011). Although common land-use information were provided to all modeling groups, vegetation dynamics, land surface schemes and parameterizations differ substantially among the models leading to different changes in vegetation cover (Fig. S1 in the Supplement). MPI and MIR, for example, simulate LULCC patterns based on annual fractional changes given by a transition matrix (“gross LULCC transitions”), whereas CAN and IPSL only simulate annual LULCC state maps for each grid cell (“net transitions”). Details about participating models can be found in Fig. S1 and Table S1 in the Supplement as well as in Brovkin et al. (2013). It needs to be noted that none of the participating models simulated plant growth with respect to nitrogen and phosphorus limitation and thus, land carbon uptakes by the biosphere and LULCC emissions might be overestimated (Goll et al., 2012).

Statistical methods were applied to test the significance of results. The modified Student’s  $t$  test was used, which accounts for temporal autocorrelation (Zwiers et al., 1995; Findell et al., 2006) and removes linear trends for the averaging period 2071–2100 caused by a strong CO<sub>2</sub> forcing. In the case of CAN, the average over three ensemble members is calculated. Since CAN did not perform L1A runs, BGP

**Table 2.** Overview of model setups and analysis strategies.

Difference	Setup differences	Terminology/scientific interpretation
ESM-L1A	same CO <sub>2</sub> concentration; with-without LULCC	BGP effects: $\Delta T(\Delta \text{LULCC}, \Delta \text{CO}_2 = 0) = \Delta T_{\text{BGP}}$ , $\Delta C(\Delta \text{LULCC}, \Delta \text{CO}_2 = 0) = \Delta C_{\Delta \text{LULCC}}$
L1A-L1B	different CO <sub>2</sub> concentrations; both without LULCC	BGC effects: $\Delta T(\Delta \text{LULCC} = 0, \Delta \text{CO}_2) = \Delta T_{\text{BGC}}$ , $\Delta C(\Delta \text{LULCC} = 0, \Delta \text{CO}_2) = \Delta C_{\text{BGC}}$
ESM-L1B	different CO <sub>2</sub> concentrations; with-without LULCC	net effects: $\Delta T(\Delta \text{LULCC}, \Delta \text{CO}_2) = \Delta T_{\text{net}}$ , $\Delta C(\Delta \text{LULCC}, \Delta \text{CO}_2) = \Delta C_{\text{net}}$

**Table 3.** Atmospheric CO<sub>2</sub> (ppm) concentrations in 2100.

Model	CO <sub>2</sub> LULCC	CO <sub>2</sub> no LULCC	$\Delta \text{CO}_2 \Delta \text{LULCC}$
MPI	951	885	66
CAN	1037	1024	12
MIR	1134	1113	22
MESSAGE	926		

effects were estimated by the difference of RCP and L2A simulations for this model from Brovkin et al. (2013).

### 3 Results and discussion

#### 3.1 Effects of LULCC on the atmospheric CO<sub>2</sub> concentration and on near-surface temperatures

##### 3.1.1 Changes in atmospheric CO<sub>2</sub> concentrations

The exchange of carbon between the land and the atmosphere via plant and soil processes is modified by LULCC, which thus affects atmospheric CO<sub>2</sub> concentrations. CO<sub>2</sub> concentrations for interactive CO<sub>2</sub> simulations with and without LULCC are listed in Table 3 for MPI, CAN and MIR for the year 2100 (no data available for IPSL). All models show higher CO<sub>2</sub> concentrations in the ESM simulations at 2100 (951 to 1134 ppm) than the MESSAGE model (926 ppm), upon which the RCP scenario is based. This is likely due to the underestimation of feedback mechanisms in IAMs relative to earth system models (Jones et al., 2013). The contribution of LULCC emissions is given by the difference between simulations with and without LULCC (CO<sub>2</sub>  $\Delta \text{LULCC}$ ) (Table 3; transient evolution of changes in Fig. S2 in the Supplement). It is greatest for MPI and smallest for CAN, which is also reflected and discussed in the changes of land carbon stocks in Sect. 3.3. Carbon emissions from LULCC enhance atmospheric CO<sub>2</sub> concentration above those due to fossil-fuel emissions by 7 % in MPI, compared to only 1 and 2 % in CAN and MIR, respectively.

**Table 4.**  $\Delta T_{\text{BGP}}$  and  $\Delta T_{\text{BGC}}$  (K), averaged over the period 2071–2100: globally and over areas where LULCC  $\geq 10$  % of the grid cell. The asterisk (\*) marks values with statistical significance ( $\geq 95$  %) of a Student's *t* test accounting for autocorrelation. The temperature change over the 21st relative to 2006 century due to fossil fuel forcings only is given by  $\Delta T_{\text{no LULCC}}^{\text{eCO}_2}$  (L1B simulation).

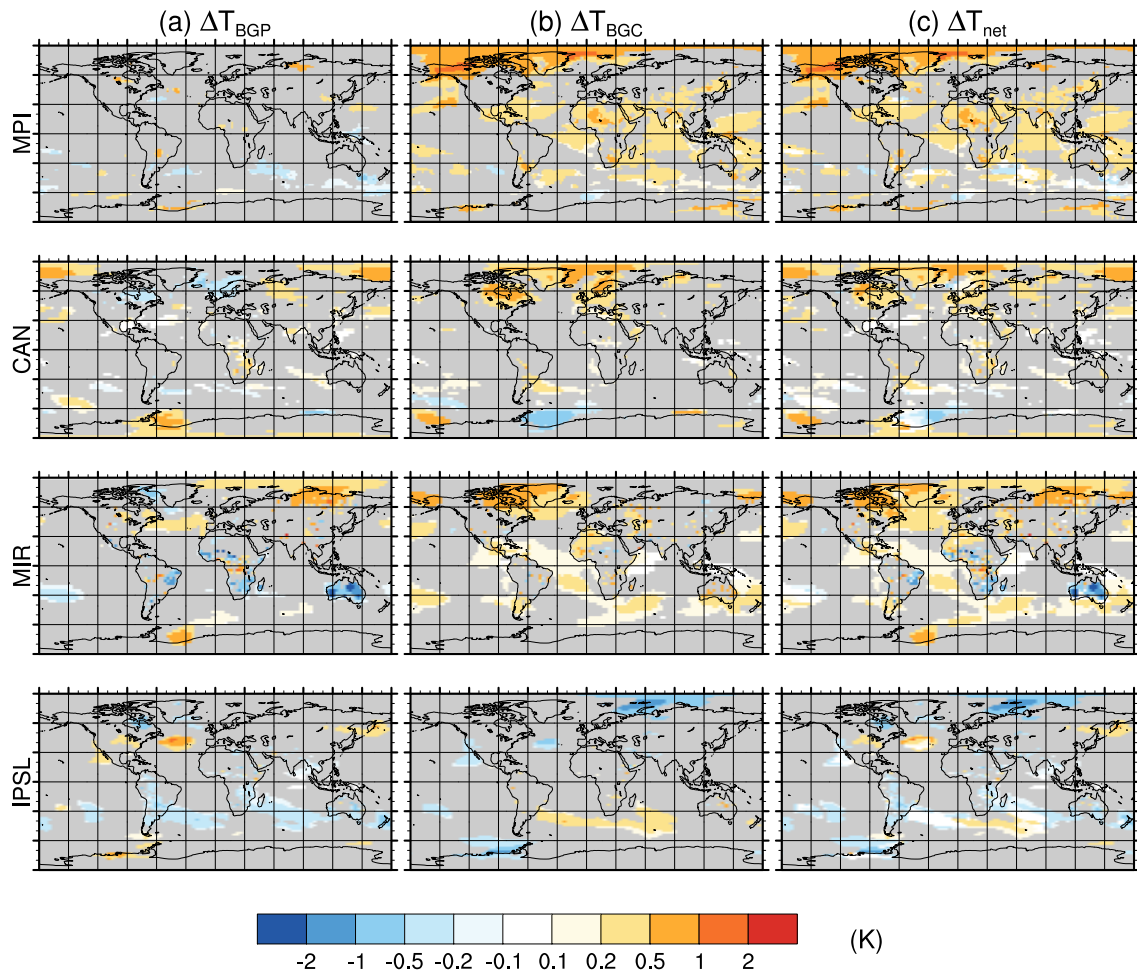
Model	$\Delta T_{\text{no LULCC}}^{\text{eCO}_2}$ Global	$\Delta T_{\text{BGC}}$ Global	$\Delta T_{\text{BGP}}$ LULCC $\geq 10$ %	$\Delta T_{\text{BGP}}$
MPI	3.02	0.23*	0.02	0.03
CAN**	3.60	0.07*	0.02	0.10*
MIR	4.73	0.12*	−0.01	−0.47*
IPSL	3.70	−0.02	−0.03	−0.16*

\*\* The BGP part in CAN is calculated as  $\Delta T_{\text{BGP}}^{\text{RCP}}$ .

##### 3.1.2 Biogeochemical effects on climate

Changes in the atmospheric GHG composition due to LULCC affect climate on the global scale. Global mean near-surface temperatures increase in all simulations until year 2100 whereas MIR is the most sensitive model to rising GHG concentrations (see Fig. S3a in the Supplement). On a global average over the years 2071 to 2100, statistically significant increases in  $\Delta T_{\text{BGC}}$  associated with LULCC are found in MPI (0.23 K), MIR (0.12 K) and CAN (0.07 K) (Table 4). LULCC emissions enhance the BGC warming associated with fossil-fuel emissions in a statistically significant manner by 8, 3 and 2 %, respectively (Table 4, first column). Maps of BGC effects for each model (Fig. 1b) show the widespread warming pattern of a well-mixed GHG, where the most pronounced temperature increases are found in polar regions due to the sea ice albedo feedback as well as temperature feedbacks (Pithan and Mauritsen, 2014), which contribute to the polar amplification. On land the warming patterns differ among the models as the greenhouse effect of CO<sub>2</sub> is not homogeneously distributed. The modification of local BGC-induced temperature change leads, for example, to a small warming in all models in Australia (Fig. 1b).





**Figure 1.** Maps displaying the change in near-surface temperature (K) averaged over 2071–2100 for each model. Only areas are shown where changes are statistically significant; (a)  $\Delta T_{\text{BGP}}$  (for CAN  $\Delta T_{\text{BGP}}^{\text{RCP}}$ ); (b)  $\Delta T_{\text{BGC}}$  (for CAN  $\Delta T_{\text{net}}^{\text{RCP}} - \Delta T_{\text{BGP}}^{\text{RCP}}$ ); (c)  $\Delta T_{\text{net}}$ .

### 3.1.3 Biogeophysical effects on climate

LULCC modifies the physical properties of the land surface which then affect near-surface climate, mainly on the local to regional scale. The model spread in  $\Delta T_{\text{BGP}}$  signals is wide in the global mean and no statistical significance is detected (Table 4). This agrees with previous model intercomparisons of BGP effects of LULCC for historical times (e.g., Pitman et al., 2009); however, results must be expected to be less robust in our study due to the chosen scenario of LULCC. In the underlying RCP8.5 scenario, the area undergoing LULCC is relatively small and is mainly located in the tropics. In the past, LULCC mainly took place in the mid- to high latitudes, where a clear BGP signal was identified caused by albedo increase related to the seasonal snow-masking effect of trees. In the tropics however, changes in albedo are less dominant and may counteract in their effect on temperatures by changes in other BGP properties such as the latent heat fluxes.

Here, the importance of LULCC implementation and its link to land–atmosphere processes in the models becomes

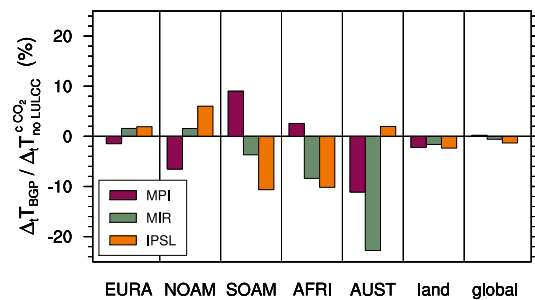
visible when linking LULCC patterns (Fig. S1 in the Supplement) with spatial  $\Delta T_{\text{BGP}}$  responses in Fig. 1a. Conversions of forests (or shrubs as in Australia) to pasture areas (as dynamically implemented by MIR and MPI in Africa, South America and Australia) or grasslands (simulated in IPSL in Australia and South America) lead to BGP-induced cooling. For two models, MIR and IPSL, this BGP effect in Australia (cooling caused by e.g., the simulated increase in albedo) dominates over the warming due to the above-mentioned BGC effect in this region. CAN neglects pastures and thus only changes in cropland extent lead to a conversion of forested areas and natural grasslands. Latent heat fluxes are reduced over crop areas, leading to a warming which overcompensates the cooling effect of increased albedo over these areas in tropical regions. While this holds for all models in South America and Africa, IPSL simulates a cooling in those regions. This is rather untypical for IPSL, as previous studies with this model (e.g., Davin and de Noblet Ducoudré, 2010) showed that the impact of LULCC on evapotranspira-

tion dominates the total BGP response to LULCC in tropical regions. Note that the IPSL model also showed warming in the extratropics, due to particular assumptions in the seasonality of the leaf area index (LAI) for crops (Pitman et al., 2009). BGP warming is found over North America in MIR and IPSL where pastures (grassland in the latter model) and crops are abandoned for the regrowth of natural grassland and trees. This in turn not only directly decreases surface albedo but also increases the snow-masking effect in periodically snow-covered regions. This effect is also responsible for the observed warming in high northern latitudes of Eurasia, where the tree line shifts northward in a warmer climate in the dynamically simulated vegetation patterns of MPI and MIR.

However, there are more diverse temperature responses shown in Fig. 1 which cannot directly be linked to LULCC. Taking therefore only areas of intense LULCC (here defined as grid cells in which the area of LULCC equals or exceeds 10 % in 2100 compared to 2006) into account, results in statistically significant changes in three models (Table 4, see Fig. S3b in the Supplement): CAN, which neglects pastures, simulates a warming of 0.1 K (this value is based on results from Brovkin et al., 2013, as mentioned earlier in Sect. 2), whereas IPSL and MIR show a BGP cooling of 0.16 and 0.47 K, respectively. The prescribed CO<sub>2</sub> simulations analyzed by Brovkin et al. (2013) yield a BGP cooling effect of 0.23 K for MIR. The stronger decrease in our analysis' near-surface temperature for MIR model is mainly attributed to enhanced changes in South America, Africa and Australia, which can partly be connected to changes in latent heat fluxes (not shown). BGP cooling can therefore dampen or dominate the net effect on near-surface temperature in specific regions (and not coherently across the models, see Fig. 1c).

### 3.1.4 Role of LULCC in affecting regional climate

Here, we investigate whether BGP effects ( $\Delta_t T_{\text{BGP}}$ ) can mitigate or rather enhance climate impacts caused by fossil and LULCC emissions alone (L1A simulation,  $\Delta_t T_{\text{no LULCC}}^{\text{cCO}_2}$ ) on the continental scale, where  $\Delta_t$  means a difference between values averaged over the period 2071 to 2100 and the year 2006. Figure 2a illustrates the percentage impact of  $\Delta_t T_{\text{BGP}} / \Delta_t T_{\text{no LULCC}}^{\text{cCO}_2}$ . Values are listed in Table S2 in the Supplement. Since CAN did not perform the  $\Delta_t T_{\text{no LULCC}}^{\text{cCO}_2}$  simulation it is not considered here. Overall, the models show inconsistent signs and magnitudes of how the BGP effects influence  $\Delta_t T_{\text{no LULCC}}^{\text{cCO}_2}$ . However, the analysis shows that for the global land area the models coherently simulate a reduction of the fossil-fuel and LULCC emission-driven temperature increase ( $\Delta_t T_{\text{no LULCC}}^{\text{cCO}_2}$ ) by 2 % (0.1 K). Furthermore, MPI and MIR simulate the strongest (and statistically significant) potential of warming mitigation over Australia with −11 and −23 %. This emphasizes the importance of including pastures in the model simulations and the currently



**Figure 2.** Relative changes in near-surface temperature: comparison of  $\Delta_t T_{\text{BGP}}$  relative to  $\Delta_t T_{\text{no LULCC}}^{\text{cCO}_2}$  (L1A simulation), that is the BGP impacts of LULCC compared to the impacts of anthropogenic carbon emissions (both fossil-fuel and LULCC) on near-surface temperature (in %). Depicted are mean 2071–2100 values minus the 2006 state (indicated by “ $\Delta_t$ ”). Positive (negative) values indicate that BGP effects ( $\Delta_t T_{\text{BGP}}$ ) enhance (dampen) the change caused by LULCC and other anthropogenic emissions. Analysis is done for the following regions: Eurasia (EURA), North America (NOAM), South America (SOAM), Africa (AFRI), Australia (AUST), land (land area excluding ice sheets) and global (total area on earth). A list of exact values can be found in Table S2 in the Supplement.

large role of pasture implementation as a source of uncertainty in simulating the LULCC effects on climate. Unlike those models that represent pastures as specific vegetation type, CAN, which neglects the representation of pastures, and IPSL, which does not consider the distinction between grasslands and pastures, do not show significant changes (for more detailed model descriptions see Table S1 in the Supplement). Similarly, LULCC changes described in Sect. 3.1.3 are strong enough to counteract the warming caused by fossil and LULCC emissions in Africa in MIR and IPSL (−8 and −10 %, respectively) but not in MPI with an insignificant warming signal of crops. Model responses are again uncertain and it is therefore difficult to link LULCC to adaptation or mitigation strategies, such as done by Pongratz et al. (2011), who analyzed the impact of reforestation.

### 3.2 Evaluation of the TRCE approach

Gillett et al. (2013) calculated the so-called transient response to cumulative emissions, TRCE, as the ratio of how global mean temperature changes in response to the cumulative increase of CO<sub>2</sub> in the atmosphere by 1 % per year until a doubling is reached. The TRCE for the participating models (in K Tg C<sup>−1</sup>) is given in Table 5 (after Gillett et al., 2013). MPI and IPSL have a very similar low TRCE while CAN has the highest TRCE. By multiplying the TRCE with the loss of land carbon due to LULCC in 2100 found in each model, equivalent changes in near-surface temperature ( $\Delta T_{\text{TRCE}}$ ) can be estimated. Note that the conversion factor from atmospheric CO<sub>2</sub> concentration to atmospheric carbon storages is 2.12 PgC ppm<sup>−1</sup>. The availability of simulations

**Table 5.** Comparison of simulated  $\Delta T_{\text{BGC}}$  (as in Table 4) to temperature changes derived from the TRCE approach (transient response of temperature to cumulative emissions;  $\Delta T_{\text{TRCE}}$  Gillett et al., 2013). LULCC emissions are derived from the losses in land carbon storage ( $\Delta C_{\Delta\text{LULCC}}$ ) multiplied by the TRCE values from Gillett et al. (2013) to approximate temperature changes. Results for RCP simulations ( $\approx \Delta T_{\text{TRCE}}^{\text{RCP}}$ ) are taken from Brovkin et al. (2013). The asterisk (\*) marks values of statistical significance ( $p < 0.05$ ).

Model	$\Delta T_{\text{BGC}}$ (K)	TRCE (°K Tt C <sup>-1</sup> )	$\Delta C_{\Delta\text{LULCC}}^{\text{a}}$ (GtC)	$\approx \Delta T_{\text{TRCE}}$ (K)	$\Delta C_{\Delta\text{LULCC}}^{\text{RCP b}}$ (GtC)	$\approx \Delta T_{\text{TRCE}}^{\text{RCP b}}$ (K)
MPI	0.23*	1.604	218	0.35	205	0.33
CAN	0.07*	2.365	34	0.08	34	0.08
MIR	0.12*	2.151	57	0.12	62	0.13
IPSL	-0.02	1.585	31	0.06	37	0.06

<sup>a</sup> Changes for CAN are calculated indirectly by  $\Delta T_{\text{net}} - \Delta T_{\text{BGC}}^{\text{RCP}}$ .

<sup>b</sup> Brovkin et al. (2013).

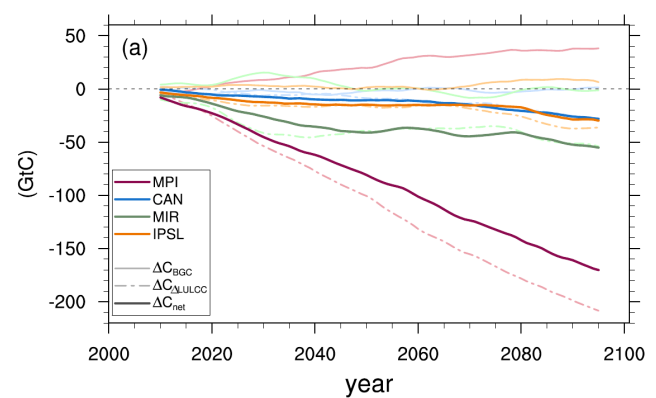
that quantify  $\Delta T_{\text{BGC}}$  interactively now allows us to evaluate the TRCE-approximation used by Brovkin et al. (2013) for prescribed CO<sub>2</sub> concentrations.

Results applying the TRCE-approximation for interactive and prescribed CO<sub>2</sub> simulations yield very similar results. For MIR,  $\Delta T_{\text{TRCE}}$  agrees well with the interactively simulated temperature change  $\Delta T_{\text{BGC}}$  (Table 4), and in CAN the TRCE estimate is only 0.01 K too high.

However, larger differences as found in MPI and IPSL hint to the relevance of effects other than the direct effects of LULCC emissions. The TRCE approach quantifies the climate response to cumulative carbon emissions before any BGP- or BGC-induced feedbacks occur but which are substantial for LULCC impacts (e.g., altered albedo). This linear approach therefore only captures results well in the absence of significant non-linearities in the models. Furthermore, we compared the instantaneous TRCE results to 30-year mean values which eliminate inter-annual variabilities. Overall, the TRCE approach serves as a good first estimate of the magnitude and direction of changes in near-surface temperatures due to LULCC emissions, but sensitivity analysis is needed for each model response.

### 3.3 Contribution of changes in land carbon storage

The modification of the land carbon sinks and sources via LULCC is responsible for the observed changes in the atmospheric CO<sub>2</sub> concentration (Table 3), resulting climate effects. The effect of LULCC on the land carbon stocks is shown in Fig. 3. All models simulate land carbon losses due to LULCC ( $\Delta C_{\text{net}}$ , dark solid lines) whereby the dominant carbon loss is mainly attributed to the deforestation ( $\Delta C_{\Delta\text{LULCC}}$ , light dashed lines) of carbon-rich tropical forest (see Fig. S1 in the Supplement). In the extra-tropics, deforestation is less prevalent and the replacement of abandoned pastures by grasslands has almost no effect, because both are treated the same way in most models. The MPI model yields the strongest carbon loss of 218 GtC in 2100 (Table 6,  $\Delta C_{\Delta\text{LULCC}}$ ), which is partly attributed to its overestimation of initial carbon stocks in the tropics and drylands (Brovkin



**Figure 3.** The 10-year running global means of net changes due to LULCC in the terrestrial carbon content (in GtC). Dark solid lines represent  $\Delta C_{\text{net}}$ , dashed lines  $\Delta C_{\Delta\text{LULCC}}$  and light solid lines  $\Delta C_{\text{BGC}}$ .

et al., 2013). The second largest decrease in land carbon in response to LULCC is found in MIR with 57 GtC. This is consistent with recent simulations, showing that the net land-use change flux is substantially larger when gross transitions are accounted for in addition to net (see also Hurtt et al., 2011; Wilkenskjaeld et al., 2014; Stocker et al., 2014) like in MPI and MIR (see S1 in the Supplement). The reason is that cyclic conversions in fractional land cover might not be seen in the resulting vegetation distribution, but lead to modified distributions of carbon among the reservoirs.

The increase in atmospheric CO<sub>2</sub> concentration and near-surface temperature following LULCC emissions affects land carbon storage differently across the models ( $\Delta C_{\text{BGC}}$ , light solid lines). The carbon gain due to CO<sub>2</sub>-fertilization caused by LULCC emissions is strongest in MPI with 40 GtC and is almost negligible in the other models with -3 to 4 GtC. This probably explains the stronger difference in MPI to simulations with prescribed CO<sub>2</sub> concentration (Table 6,  $\Delta C_{\Delta\text{LULCC}}^{\text{RCP}}$ ). Global mean annual atmosphere-to-land carbon fluxes reveal an increase until mid-century in all models and all simulations (see Fig. S4

**Table 6.** Global changes in cumulative land carbon fluxes  $\Delta C$  (cumulative from 2006 until 2100 in GtC) in 2100 due to the various effects of LULCC: changes in vegetation distribution and climate ( $\Delta C_{\Delta LULCC}$ ), net effect ( $\Delta C_{net}$ ), and BGC effects ( $\Delta C_{BGC}$ ).

Model	Simulation-index	$\Delta C$	$\Delta C^{RCP\ b}$
MPI	$\Delta LULCC$	−218	−205
	net	−179	
	BGC	39	
CAN <sup>a</sup>	$\Delta LULCC$	−34	−34
	net	−29	
	BGC	4	
MIR	$\Delta LULCC$	−57	−62
	net	−56	
	BGC	2	
IPSL	$\Delta LULCC$	−35	−37
	net	−38	
	BGC	−3	

<sup>a</sup> Changes for CAN are calculated indirectly by  $\Delta T_{net} - \Delta T_{BGC}^{RCP}$ .

<sup>b</sup> Brovkin et al. (2013).

in the Supplement). Around mid-century, the increasing respiration in a warmer climate reduces and more than overcompensates the enhanced carbon uptake associated with the CO<sub>2</sub>-fertilization effect, especially in MIR. The behavior of the MIR is consistent with the findings in Arora et al. (2013) who showed that the carbon–temperature feedback is strongest in the MIR.

The representation of modified land carbon sinks and sources by LULCC vary across the ESMs leading to the wide spread in carbon pool signals. The modeling groups used common land-use data sets and handled indirect effects coherently following the LUCID protocol so that only differences in simulated climate remain. However, intrinsic differences across the models remain, such as the explicit simulation of some carbon-cycle-related processes (e.g., the representation of crops in CAN), and the neglect or parameterization of other processes (e.g., crops in MPI). One example is the simulation of fire emissions that was done by MPI and IPSL (see Fig. S5 in the Supplement). Interestingly, they both show that fire emissions are reduced by increased land management, which would otherwise increase much stronger in a warmer climate. Following Houghton et al. (2012), these aspects cause uncertainties in modeling carbon emissions from LULCC in the order of  $\pm 50\%$ .

## 4 Conclusions

BGP and BGC impacts of LULCC on near-surface temperatures and land carbon pools are separated by using CMIP5-LUCID simulations with interactive CO<sub>2</sub> from four earth system models. These results show that the BGP effect in

the RCP scenario causes no statistically significant change in the globally averaged near-surface temperature averaged over the period 2071–2100. This is the consequence of relatively small changes in land cover over the 2006–2100 period compared to that over the historical period. One further reason is the fact that over the 21st century LULCC primarily takes place in (sub)tropical regions where changes in latent heat fluxes have more impact than changes in albedo, which are more effective in seasonally snow-covered regions. However, averaged over regions of intense LULCC (i.e., when LULCC impacts  $\geq 10\%$  of a grid cell over the 2006–2100 period), three models simulate statistically significant changes of varying sign and magnitude (between 0.1 and  $-0.47$  K). BGC effects of LULCC lead to statistically significant increases in global mean near-surface temperatures of 0.07, 0.12 and 0.23 K following increases in atmospheric CO<sub>2</sub> from LULCC emissions between 12, 22 and 66 ppm in CAN, MIR and MPI, respectively. The model spread is attributed to differences in modeling assumptions, parameterizations and included processes (e.g., fire), which lead to different manners in which the common LULCC pattern is implemented across models (e.g., with and without pastures) and induce a degree of uncertainty.

The BGP effects of LULCC may enhance or dampen its BGC effects. For example, in South America and Africa, MIR and IPSL both show that BGP effects dampen and, in the case of MPI, enhance BGC warming caused by land-use change and fossil-fuel emissions. A causal link between LULCC forcing and the climate impact is found for MIR, where the presence of pastures in Europe and Australia tends to induce a local BGP cooling which offsets a BGC warming. Crops tend to warm climate in most areas and models. This is especially the case in CAN, which is the only model that simulates an overall BGP warming in the absence of pasture representation. Conversion to pastures thus may have a climate change mitigation potential but more detailed and idealized experiments are required e.g., simulations with and without pasture cultivation in each model.

The approach of the transient response to cumulative emissions in 2100, TRCE (Gillett et al., 2013) captures the changes in temperature well for CAN and MIR but is less precise for MPI and IPSL. Therefore, TRCE serves as a good first estimate but since it is a linear approach it is less reliable in case of non-linearities and strong variability in the models.

LULCC leads to carbon release from the land to the atmosphere. Accounting for gross LULCC transitions in both MPI and MIR results in stronger LULCC emissions than in the other two models. The global effect of CO<sub>2</sub>-fertilization due to LULCC is strong for MPI with 39 GtC in 2100 and almost negligible in the other models.

Land-use change emissions are inherently uncertain. When implemented in ESMs, the diagnosed BGP and BGC effects of LULCC are even more uncertain because of the manner in which land-use change is interpreted and implemented across models. The BGC effects of LULCC are



related to how the deforested biomass is treated, whether or not transitions across land cover types are considered and how natural vegetation regrows after croplands/pastures are abandoned. All these factors determine the net LULCC emissions and thus the change in atmospheric CO<sub>2</sub> concentration. The BGP effects of LULCC are related to how changes in the physical appearance of the land surface affect the energy and water balance through changes in albedo, roughness length and other physical structural attributes of vegetation. Since models differ greatly in treating BGP and BGC effects of LULCC, the same LULCC pattern can yield differences in magnitude and even sign of the net effect. Simple idealized experiments with clear experimental protocols are needed, for example, to make actual simulated land-use patterns more comparable by coherently implementing or neglecting pastures. This would provide a better understanding of why models respond differently to the same LULCC forcing and thus help reduce uncertainty in the net effect of LULCC across models. Last but not least, some of the uncertainty could be eliminated by having several ensemble members, which would make statistical significance testing more robust.

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